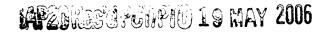
LAPT REC'DECT/PTO 19 MAY 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0551-0021
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ATTORNEY'S DOCKET NUMBER A 1 SON 31,630 13 U.S. APPLICATIONING (Fknown, see 37 CFR 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** Not Yet Assigned INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/018101 11-30-2004 12-03-2003 TITLE OF INVENTION - EXTERNAL CAVITY TYPE SEMICONDUCTOR LASER APPLICANT(S) FOR DO/EO/US Tomiji Tanaka, Kazuo Takahashi, and Motonobu Takeya Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must X include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. Х is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) Х are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) -An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 13. X x | An Application Data Sheet under 37 CFR 1.76. 14. 15 A substitute specification. A power of attorney and/or change of address letter. 16 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 17 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18 19 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).



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INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/018101 SON-3163 20. x Other items or information: Notification Concerning Submission or Transmittal of Priority Document; Notification of Change of Address; Notification of Change of Name The following fees have been submitted **CALCULATIONS PTO USEONLY** Basic national fee (37 CFR 1.492(a))......\$300 \$ 300.00 Examination fee (37 CFR 1.492(c)) 22. x If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 \$ 200.00 All other situations 23. x Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an \$ 400.00 International Searching Authority..... International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... All other situations \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 45 - 100 = /50 = x \$250.00 \$ Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE CLAIMS Total claims 18-20 = x 0.00 2-3= x Independent claims MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ 900.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 900.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$ 900.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 900.00 TOTAL FEES ENCLOSED Amount to be \$ refunded: Amount to be \$ charged

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| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 | n |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a pet ition to revive (37 CFR 1.137(a) or (b)) in filed an granted to restore the International Application to pending status. | nust be |
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| SEND ALL CORRESPONDENCE TO: | _ |
| Ronald P. Kananen RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W., Suite 501 Washington, DC 20036 (202) 955-3750 Date: May 19, 2006 | _ |
| CUSTOMER NUMBER: 23353 24,104 REGISTRATION NUMBER | - |
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